


Name: Enrolment No:	
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UNIVERSITY OF PETROLEUM AND ENERGY STUDIES
End Semester Examination, December 2022

Course: Financial Markets & Investments
Program: B.COM_LL B
Course Code: FINC1019

Semester: 1st
Time : 03 hrs.
Max. Marks: 100

Instructions:

SECTION A
(5Qx2M=10Marks)

S. No.		Marks	CO
Q 1.	Who is the regulatory of insurance sector in India? a) SEBI b) IRDAI c) NABARD d) PFRDA	2	CO1
Q 2.	Constituents of Banking Industry in India - a) Financial Institution b) Financial Service c) Financial Assets d) All of the above	2	CO1
Q 3.	Which one of the following is used for international money Transfer? a) RTGS b) SWIFT c) NEFT d) DD	2	CO1
Q 4.	Which is not a function of RBI? a) Banking supervision b) Lender of last resort c) Controlling inflation d) Employment control in the country	2	CO1
Q 5.	Which of the following is used to verify the cash and Liquidity position? a) Cash management b) Payment request c) Liquidity Management d) All of the above	2	CO1

SECTION B (4Qx5M= 20 Marks)			
Q 6.	Explain the functions of foreign exchange market? Distinguish between foreign exchange risk and foreign exchange exposure?	5	CO4
Q 7.	What are the merits and demerits of mobile banking in India?	5	CO1
Q 8.	What is Secondary market? And How it is inter-related with Primary market?	5	CO2
Q 9.	Briefly explain the Financial Sectors Reforms in Banking Sector, Debt Market and Foreign Exchange Market?	5	CO3
SECTION-C (2Qx10M=20 Marks)			
Q 10.	Explain the concept of money market and capital market and also define its instruments?	10	CO5
Q 11.	Explain the recent developments in Banking sector with respect to Technological development: 1) Core Banking 2) Electronic Fund Transfer 3) Tele Banking 4) E-Banking 5) Mobile Banking.	10	CO4
SECTION-D (2Qx25M=50 Marks)			
Q 12.	Explain the Qualitative and Quantitative Methods adopted by RBI to Control Credit Creation? Or Explain the concept of securitization and its Implications?	25	CO1
Q 13.	What is NABARD? How will you shift farmers from informal sector to formal sector? And explain any other financial institution.	25	CO4

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