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UNIVERSITY OF PETROLEUM AND ENERGY STUDIES

End Semester Examination, December 2017

Program: B.COM. LLB. (Hons.) Taxation Laws
Subject (Course): Fundamentals of Investment Management
Course Code: BBCF 232
No. of page/s: 2

Semester – V
Max. Marks: 100
Duration: 3 Hrs

Section A

There are 5 questions in this section of two marks each. (10)

- Q 1) How an investor in bond receives income?
- Q 2) What does the computation of return on a bond involves?
- Q 3) Define Risk attitude.
- Q 4) Write any three form of return on any investments.
- Q 5) Write full form of REITs.

Section B

There are 5 questions in this section of four marks each. (20)

- Q 6) Explain Pooled Funds.
- Q 7) Differentiate ETFs and Mutual Funds.
- Q 8) Discuss the functions of Capital market.
- Q 9) What are FOFs? Explain in short.
- Q 10) Write the tick size of five major commodities traded in MCX.

Section C

There are 4 questions in this section of five marks each. (20)

- Q 11) What are ETFs? Explain in short.
- Q 12) Explain Mark to Market with the help of an example and show the calculations also.
- Q 13) What are Pooled Funds? Explain in short.
- Q 14) Explain different asset allocation design types.

Section D

There are 5 questions in this section of ten marks each.

(50)

Q 15) How tax will get calculated on Capital gain/loss, as per given details:

Initial Bonds' purchase: 100 units @ \$10 per unit

Second lot of quantity purchased: 50 units @ \$12 per unit

Selling Price: \$11 for 150 units

Capital gain/loss subject to tax: 50%

Tax Rate: 35%

Q 16) What should be a Risk Matrix under a Risk Policy of any organization.

Q 17) What is wealth management? Explain with the services associated with this.

Q 18) Differentiate Cash, Bonds and Equities.

Q 19) Explain types of client under portfolio development process.